

07/24/01

J0796 U.S. PTO

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Approved for use through 10/31/2002 OMB 0651-0032  
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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	SONYJP 3.0-192
	First Inventor	Takumi Okaue
	Title	DATA PROCESSING SYSTEM, DATA, etc.
	Express Mail Label No.	

EL80452525US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 800 concerning utility patent application contents.		<b>ADDRESS TO:</b> Commissioner for Patents Washington, DC 20231	
1. <input checked="" type="checkbox"/> Free Transmittal Form (e.g., PTO/SB17) (Obtain an original, and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)		
2. <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27.	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)		
3. <input type="checkbox"/> Specification [Total Pages 80]	a. <input type="checkbox"/> Computer Readable Form (CRF)		
(preferred arrangement set forth below)	b. <input type="checkbox"/> Specification Sequence Listing on		
- Descriptive title of the invention	i. <input type="checkbox"/> CD-ROM or CD-R (2 copies), or	ii. <input type="checkbox"/> paper	
- Cross Reference to Related Applications	c. <input type="checkbox"/> Statements verifying identity of above copies		
- Statement Regarding Fed sponsored R & D	<b>ACCOMPANYING APPLICATIONS PARTS</b>		
- Reference to sequence listing, a table, or a computer program listing appendix	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))		
- Background of the invention	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement of Attorney		
- Brief Summary of the invention	11. <input type="checkbox"/> English Translation Document (if applicable)		
- Brief Description of the Drawings (if filed)	12. <input type="checkbox"/> Information Disclosure Statement (IDS) PTO-1449		
- Detailed Description	13. <input type="checkbox"/> Preliminary Amendment		
- Claim(s)	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)		
- Abstract of the Disclosure	15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)		
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 45]	16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(ii) Applicant must attach form PTO/SB/35 or its equivalent		
5. <input type="checkbox"/> Oath or Declaration [Total Pages]	17. <input checked="" type="checkbox"/> Other. Unexecuted Declaration		
a. <input type="checkbox"/> Newly executed (original or copy)			
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)			
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)			
6. <input type="checkbox"/> Application Data Sheet See 37 CFR 1.76			


18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

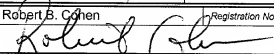
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>19. CORRESPONDENCE ADDRESS</b>			
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		<input type="checkbox"/> Correspondence address below	
 000530			
Name _____			
Address _____			
City _____	State _____	Zip Code _____	
Country _____	Telephone _____	Fax _____	

Name (Print/Type)	Robert B. Cohen	Registration No. (Attorney/Agent)	32,768
Signature		Date	July 24, 2001

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

Application Number	Not Yet Assigned
Filing Date	
First Named Inventor	Takumi Okaue
Examiner Name	Not Yet Assigned
Group Art Unit	N/A
Attorney Docket No.	SONYJP 3.0-192

TOTAL AMOUNT OF PAYMENT (\$) 870.00

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 12-1095

Deposit Account Name: Lerner, David, Littenberg, Krumholz & Mentlik, LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

1. BASIC FILING FEE					
Large Entity	Fee Code (\$)	Small Entity	Fee Code (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710.00
105	320	205	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					710.00

2. EXTRA CLAIM FEES					
Large Entity	Fee Code (\$)	Small Entity	Fee Code (\$)	Extra Claims	Fee from below
Total Claims	18	-20** =		X	0.00
Independent Claims	5	-3** =	2	X	80.00
Multiple Dependent					

3. ADDITIONAL FEES					
Large Entity	Fee Code (\$)	Small Entity	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(c)	
126	180	126	180	Submission of Information Disclosure Sheet	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.125(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					160.00

\*\*or number previously paid, if greater. For Reissues, see above

## SUBMITTED BY

Name (print/type) Robert B. Cohen

Registration No. (Attorney/Agent)

32,768

Complete (if applicable)

Telephone (908) 518-6316

Signature

*Robert B. Cohen*

Date

July 24, 2001